



Funds International Limited

For your Business, Financial, Investment and IT needs

**Funds International Limited is registered with
the Trinidad and Tobago Securities and
Exchange Commission as
Licensed Investment Advisers**

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Funds International Limited



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INTRODUCTION

Established in 1996, Funds International Limited was created to fill a void in the local financial marketplace by providing financial and investment consultancy for individuals and corporations alike.

The deregulation and liberalization of the Trinidad and Tobago economy has created opportunities for the investing public that never existed before. This New World order has demanded new skills and expertise in the field of financial management.

With this in mind, Funds International Limited was formed after careful selection of a team of professionals, who share this vision.

Our pedigree, although local has created international relationships in an effort to offer financial variety to the people of Trinidad and Tobago and the global community.

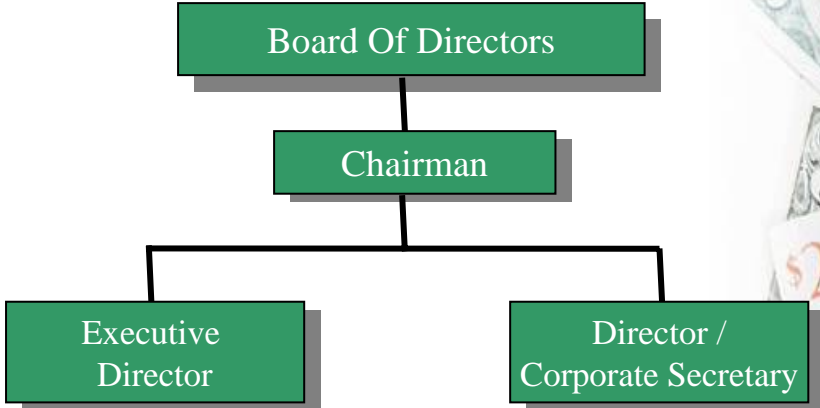
OUR OBJECTIVE

To create wealth for our clients by providing solutions to their financial goals through our wide range of products and services in an atmosphere of trust, confidentiality and professionalism.



ORGANIZATIONAL STRUCTURE

The Company's organizational chart is as indicated below:



Funds International Limited is a Company which is controlled by its directors and executives. The Company operates as an independent financial and investment advisory firm within the financial community. Our focus is to forge alliances with reputable international organizations for products and services.

Directors and Executives

BOARD OF DIRECTORS

- K. Michael Daniell BComm. MBA. FCMI. ACIB. BSc (Hons).
- Eric A. Adams BA (Hons). ASA. MSc. MBA. MAAA. ICMQ.
- Stephen A. Singh LLB (Hons). *Attorney at Law*
- Dr. Marcus A. Daniell DDS. OMFS

EXECUTIVE AND NON-EXECUTIVE OFFICERS

- K. Michael Daniell *Chairman*
- Eric A. Adams *Executive Director*
- Stephen A. Singh *Director / Corporate Secretary*

See attached profiles on each of the Officers.



Experience

1997

Funds International Limited

Chairman

Marketing of personal and corporate financial services in business consulting, investments, pension/portfolio management, mutual funds, insurance, corporate finance, actuarial and legal matters.

1996

West Indies Stockbrokers Limited

General Manager - Marketing

Marketing of international financial products to personal and corporate clients.

1991-1995

T&T National Petroleum Marketing Co Ltd

Treasurer/Management Accountant

Management of NP's treasury, management accounting, insurance and business development departments.

1988-1989

Algico

Investment Manager

Portfolio management of US\$300 million in insurance funds for Trinidad and seven Caribbean islands.



K. Michael Daniell
Chairman



1983-1988

Republic Bank Limited

Administrator

Portfolio management of US\$600 million in pension funds.

1982-1983

Date Montgomery & Co

Chartered Accountants

Audit Senior

Provided audit, tax and business advisory services to client base.

Education

- **Bachelor of Commerce** (in Accounting and Business Law) from Concordia University, Montreal, Canada.
- **Master of Business Administration** (in Finance) from Henley The Management College / Brunel University, London, England.
- **Fellow**, Chartered Management Institute (U.K.).
- **Associate**, Chartered Institute of Bankers (U.K.).
- **Bachelor of Science** (in Financial Services) University of Manchester, Manchester, England.

Professional Membership

- Chartered Association of Certified Accountants (U.K.).
- Chartered Institute of Bankers (U.K.).
- Chartered Management Institute (U.K.).
- Institute of Chartered Secretaries and Administrators (U.K.).



Experience

1997

Funds International Limited

Executive Director

Marketing of personal and corporate financial services in business consulting, investments, pension/portfolio management, mutual funds, insurance, corporate finance, actuarial and legal matters.

1996-Present

Financial Consultant

Marketing and designing Employee Benefit/ Pension Plans for corporate clients. Providing investment and pension fund/ portfolio management services to personal and corporate clients.

1995

West Indies Stockbrokers Limited

Managing Director

Marketed investment advisory services to personal and corporate clients as it related to the stock and bond markets.

1989 -1995

Algico

Director of Life Insurance Operations

Overall responsibility for the Company's Life Insurance operations including MIS, Underwriting and Policy Owner Services. Marketed and administered the Company's Group Life, Health and Pension portfolios in Trinidad, Barbados and the Eastern Caribbean.



Eric A. Adams
Executive Director



1987-1989

The Equitable Life Assurance Society (USA)

Actuarial Associate

Developed Asset/Liability Management models for the Pension Financial Management Group. Portfolio management of the Group's US\$6 Billion Guaranteed Investment Contract (GIC) fund.

Education

- **Master of Business Administration** (in Finance and Investments) from George Washington University, U.S.A.
- **Master of Science** (in Actuarial Science) from University of Iowa, U.S.A.
- **Bachelor of Arts** (First Class Honours in Mathematics and Economics) from University of the West Indies, St. Augustine. Trinidad.

Professional Membership

- Associate of the Society of Actuaries, U.S.A.
 - ✓ Member of the Investment Section
 - ✓ Member of the Pension Section
 - ✓ Member of the Risk Management Section
- Member of the American Academy of Actuaries, U.S.A.
- International Capital Markets, U.K.



Experience

1997

Funds International Limited

Director / Corporate Secretary

2000 - Present

Lex Caribbean

Managing Partner Trinidad and Tobago

Partner-in-Charge of Litigation; Areas of Practice: Banking and Finance, Corporate Commercial, Construction Law, Oil and Gas, Caribbean Litigation and Projects.

1989-2000

De Nobriga Inniss & Co

Attorneys-at-Law

Partner (1996)

In charge of litigation, practices as counsel to the firm, in such areas as Admiralty, Alternative Dispute Resolution, Banking and Commercial Law including Taxation, Industrial Relations and Environmental Law.

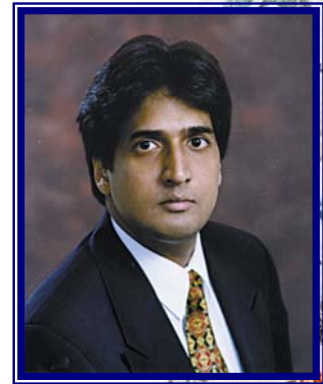
1987-1989

M. Hamel-Smith & Co

Attorneys-at-Law

Associate

Handled civil litigation in the areas of mortgages, admiralty, company law and negligence.



Stephen A. Singh
*Director /
Corporate Secretary*



Education

- **Legal Education Certificate (LEC)** from Hugh Wooding Law School, UWI, St. Augustine, Trinidad.
- **Bachelor of Laws (LLB)** from University of the West Indies, Cave Hill, Barbados.

Professional Membership

- Director of Development Finance Limited.
- Member of the Law Association of Trinidad and Tobago.
- Member of the United Nations Roster of Experts in the field of crimes against the environment.
- Past Chairman of the Board of Directors of Naval Architects and Marine Engineers.
- Member of the panel of Conciliators and Arbitrators of the International Centre for the Settlement of Investment Disputes.
- Past Chairman of the Environmental Committee of the American Chamber of Commerce (AmCham) of Trinidad and Tobago.



The following financial services and products are available to our clients:

- Investment advice on securities such as stocks & shares, bonds, mutual funds, unit trusts and insurance.
- Financial planning, training and seminars for the market place.
- Investment and portfolio management services for pension funds, individuals and corporations.
- Development of a Pension Administration Software package for Pension Plans.
- Designing and Implementing ESOPs and Pension Plans for corporate clients.
- Offshore tax-free mutual funds, products and services.
- International merchant and corporate banking services.
- Tax-free offshore banking and trustee services.
- International tax-free insurance products.
- Development of financial and investment products.
- Market research:- local, regional and international.

The range of services and products offered has been brought about by the fostering of strategic alliances with organizations in the international financial community.



CONCLUSION

Funds International Limited through its professional manpower, international relations and enhanced communication systems is dedicated to providing an alternative to the changing needs of the global financial marketplace.

Prudent management of one's economic resources is absolutely necessary, taking into consideration the relative age of uncertainty in which we live.

With this in mind, we at Funds International Limited have positioned ourselves to forge into the twenty-first century, by providing an enhanced level of products and services to our clientele.



Trinidad and Tobago Securities and Exchange Commission

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October 27, 1997

Keith Michael Daniell
Chairman
Funds International Limited
84 Roberts Street
Woodbrook

Dear Sir,

**Registration of Funds International Limited
as an Investment Adviser with the Securities and Exchange Commission**

I am to advise you that Funds International Limited has fulfilled the conditions for registration with the Trinidad and Tobago Securities and Exchange Commission as an Investment Adviser and was on October 27 1997 duly registered with the Commission in the category of Investment Adviser.

A certificate of registration will be forwarded in due course.

Yours faithfully,

for *C. M. Robinson*
C. M. Robinson
Chairman

